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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/668,478	
	Filing Date	September 22, 2003	
	First Named Inventor	James C. Vizzini, et al.	
	Art Unit	1713	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	4	Attorney Docket Number	2002B134/2

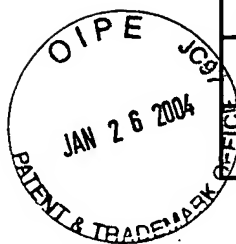
ENCLOSURES (check all that apply)		
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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
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Signature	
Date	January 23, 2004

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the			
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Signature		Date	January 23, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b>			<b>Attny. Docket No. 2002B134/2</b>
<b>In Re Application of: Vizzini et al.</b>			
<b>U.S. Serial No. 10/668,478</b>	<b>Filing Date September 22, 2003</b>	<b>Examiner Unassigned</b>	<b>Group Art Unit Unassigned</b>



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**37 CFR § 1.97(b)**

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

**37 CFR § 1.97(c)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**37 CFR § 1.97(d)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed.

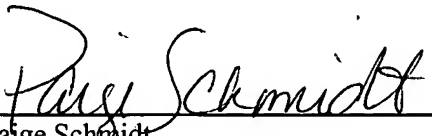
☐ This application is a:  
☐ Continuation / Divisional,  
☐ Continuation-in-Part,


of U.S.S.N. \_\_\_\_\_. Copies of the cited references are  
☐ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1712. A duplicate copy of this form is enclosed.

January 23, 2004

Date

  
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 <p style="margin-top: 10px;">Use several sheets if necessary)</p>				ATTY. DOCKET NO.		SERIAL NO.	
				2002B134/2		10/668,478	
				APPLICANT			
				Vizzini et al.			
				FILING DATE		GROUP	
September 22, 2003		Unassigned					

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,779,712	12/18/73	Calvert et al.	23	288	
	AB	4,268,017	05/19/81	Cole	266	265	
	AC	4,585,644	04/29/86	Divis et al.	423	450	
	AD	5,326,855	07/05/94	Kahn	528	498	
	AE	6,051,631	04/18/00	Hottovy	523	348	
	AF	6,245,868	06/21/01	Agapiou et al.	526	88	
	AG	6,346,584	02/12/02	Wenzel et al.	526	82	
	AH	6,365,695	04/02/02	Ramamurthy et al.	526	352	
	AI	6,368,999	04/09/02	Specia	502	402	
	AJ	6,391,819	05/21/02	Agapiou et al.	502	170	
	AK	2001/0020072	09/06/01	Agapiou et al.	526	901	

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	WO 02/06188	24/01/02	PCT	C07B	39/00		
	AM	CH 425 733	26/12/63	SWITZERLAND	B01H	1/40		
	AN	EP 0 646 603	26/09/94	EUROPE	C08F	6/00		
	AO	EP 0 776 691	04/06/97	EUROPE	B01J	8/00		

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)	
	AP
	AQ

EXAMINER	DATE CONSIDERED
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**\*EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and not considered. Include copy of this form with next comment to applicant